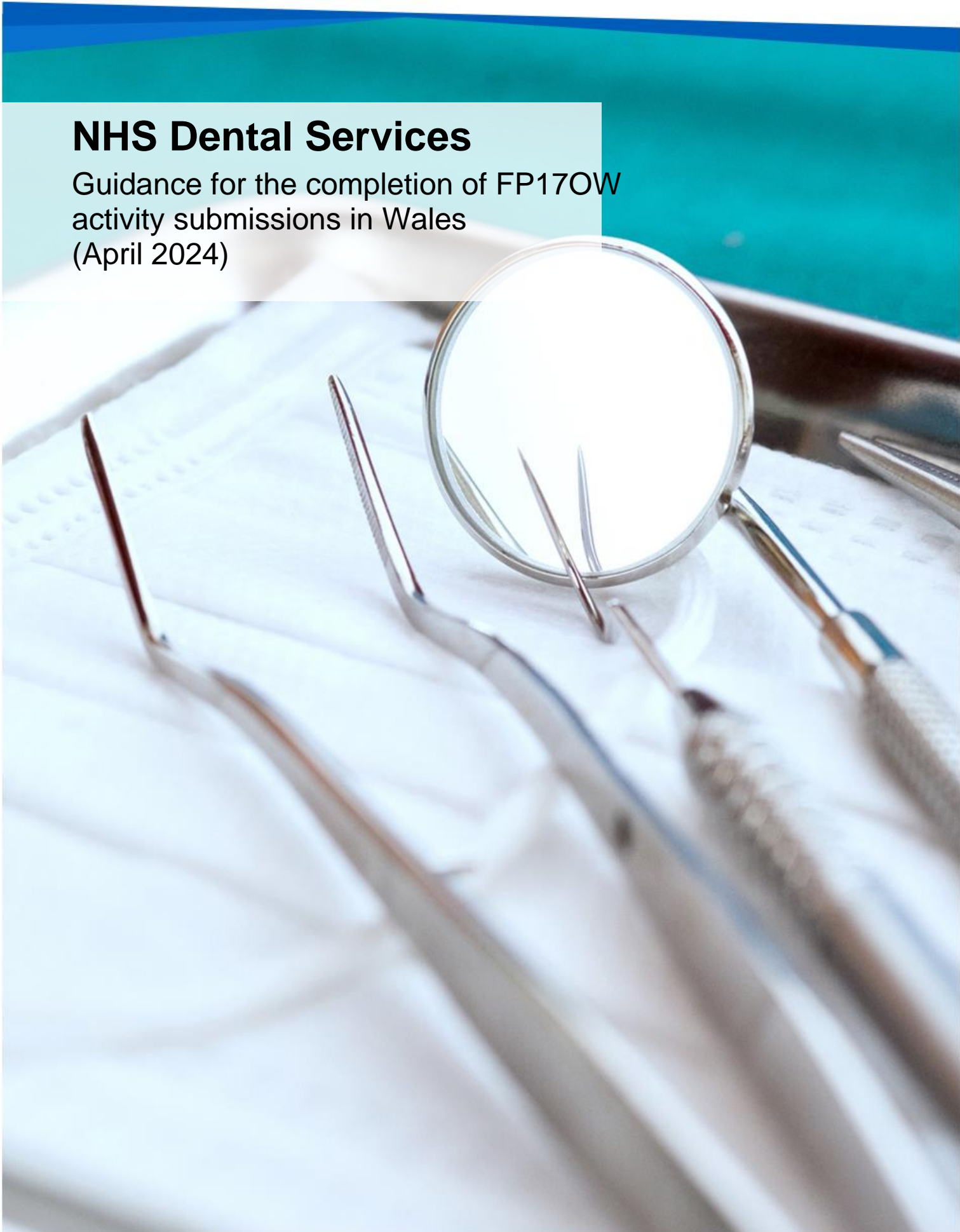


NHS Dental Services

Guidance for the completion of FP17OW
activity submissions in Wales
(April 2024)



Introduction

This guide has been produced to help providers and clinicians to:

- use the online FP17OW form available in the NHSBSA Compass system
- address some of the more common questions relating to the business rules associated with the processing of FP17OWs, whether they have been submitted using the online forms or submitted via a practice management system.

This guide can also be used as a training tool for individuals new to NHS dentistry. Please note that this guide is only to be used for the submission of dental activity submissions for Orthodontic courses of NHS dental treatment in Wales.

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Activity Claim Creation

Contract ID



Personal ID

Location ID

Form Type



Contract ID - Enter the 10-digit numeric character contract number of the provider. This is required on every form.

Personal ID - Enter the 6-digit number of the clinician responsible for this course of treatment. This may pre-populate following Compass log-in or can be entered manually. This is required on every form.

Location ID - Enter the 6-digit location ID number. This may pre-populate or enter manually. This is required on every form.

Form Type - Select FP17OW from the drop-down list.

Patient Information

Patient ID	<input type="text"/>
NHS Number	<input type="text"/>
Surname	<input type="text"/>
Forename	<input type="text"/>
Address	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Post Code	<input type="text"/>
Sex	<input type="text" value="Please Select..."/>
Date of Birth	<input type="text"/>

Patient's NHS Number - Enter the patient's 10-digit NHS number. Please note that spaces are not an acceptable entry.

Surname - Enter the patient's surname up to 14 alpha characters. This is required on every form.

Forename - Enter the patient's forename up to 14 alpha characters. This is required on every form.

Address - Enter the patient's house number or name, and street. This is required on every form.

Postcode - Enter the patient's postcode.

Sex – Select the patient's sex at birth, M for Male or F for Female. This is required on every form.

Date of Birth – Enter the patient's date of birth in format ddmmyyy. This is required on every form.

Previous Surname
(If changed since last visit)

Email Address

Patient Declined

Mobile Phone Number

Patient Declined

Previous Surname – Enter the patient’s previous surname if changed since last visit.

Email Address – Enter the patient's email address. If not entered, the ‘Patient Declined’ box should be completed. Please note that ‘Patient Declined’ box must not be completed if the patient's email address is entered.

Mobile Number – Enter the patient's mobile number. If not entered, the ‘Patient Declined’ box should be completed. Please note that ‘Patient Declined’ box must not be completed if the patient's mobile number is entered.

Exemptions, Remissions & Patient Charge

Patient Under 18	<input type="checkbox"/>	Full remission - HC2 cert	<input type="checkbox"/>	Partial remission - HC3 cert	<input type="checkbox"/>	Expectant mother	<input type="checkbox"/>	Nursing mother	<input type="checkbox"/>
Aged 18 in full-time education	<input type="checkbox"/>	Income support	<input type="checkbox"/>	NHS tax credit exemption	<input type="checkbox"/>	Income-based jobseeker's allowance	<input type="checkbox"/>	Pension credit guarantee credit	<input type="checkbox"/>
Prisoner	<input type="checkbox"/>	Exam only – under 25/60 or over	<input type="checkbox"/>	Income-related employment and support allowance	<input type="checkbox"/>	Universal Credit	<input type="checkbox"/>		

Patient Under 18 – Select this box if patient is under 18.

Full Remission – HC2W cert. – Select this box if patient is named on an HC2W certificate.

Partial Remission – HC3W cert. – Select this box if patient is named on an HC3W certificate.

Expectant mother – Select this box if the patient is pregnant.

Nursing mother – Select this box if the patient had a baby in the last 12 months.

Aged 18 in full time education – Select this box if the patient is aged 18 and in full time education.

Income Support – Select this box if the patient or patient’s partner receives Income Support.

NHS tax credit exemption – Select this box if the patient is named on an NHS Tax Credit Exemption Certificate.

Income-based jobseekers allowance – Select this box if the patient or patient's partner receive Income-based Jobseekers Allowance.

Pension credit guarantee credit – Select this box if the patient or patient's partner receive Pension Credit Guarantee Credit.

Prisoner – Select this box if the patient is in prison or a young offender institution.

Exam only under 25/60 or over – can only be used if the patient's age at Date of Acceptance is indeed under 25 or 60 or over (N/A to FP17Os).

The clinical data set item fluoride varnish can also be reported and if required must be included in the clinical data set section.

Free examination only can also be reported with all the options under Best Practice Prevention and three of the four options available under Cleaning and Instruction. (See Clinical Data Set section).

Income related employment and support allowance - Select this box if the patient or patient's partner receive Income related employment and support allowance.

Universal credit - Select this box if the patient or patient's partner receive universal credit

Evidence of Exemption or Remission seen – Select either the Yes or No box to indicate whether the patient provided evidence of exemption or remission.

Patient Charge Collected – Enter any NHS patient charge that has been collected for this course of treatment.

Orthodontic Data Set

Aerosol Generating Procedure	<input type="text"/> (No. of appointments)	Removable upper appliance	<input type="checkbox"/>	Removable lower appliance	<input type="checkbox"/>	Fixed upper appliance	<input type="checkbox"/>
Radiograph(s) taken	<input type="text"/> (Number)	Functional appliance	<input type="checkbox"/>	Retainer upper	<input type="checkbox"/>	Retainer lower	<input type="checkbox"/>
Fixed lower appliance	<input type="checkbox"/>						

Extractions

Search Quadrant

Quadrant	Tooth	Action

Records 0 to 0 of 0 Page 1 / 1

Aerosol Generating Procedure – This field is to be completed to record the number of AGP appointments provided as part of the course of treatment.

This information is optional and is no longer required by NHSBSA

Radiographs – Enter the number of radiographs proposed/obtained.

Removable Upper Appliance – Select this box if this appliance was provided.

Removable Lower Appliance – Select this box if this appliance was provided.

Fixed Upper Appliance – Select this box if this appliance was provided

Fixed Lower Appliance – Select this box if this appliance was provided.

Functional Appliance – Select this box if this appliance was provided.

Retainer Upper – Select this box if this appliance was provided.

Retainer Lower – Select this box if this appliance was provided.

Extractions – Select the quadrant(s) for the tooth notation(s) for extractions proposed / performed. Please note each quadrant must be entered separately.

Orthodontic Assessment & Treatment Start

To be completed on assessment or at the fitting of the first appliance.

Please note that on orthodontic submissions, the age of the patient is now calculated using the Date of Referral*:

- If the patient is aged under 18 at the Date of Referral* on an assessment claim, then the 'Patient Under 18' box must be ticked.
- If the patient is aged 18 or over at the Date of Referral* on an assessment claim, then the 'Patient Under 18' box must not be ticked.
- If the 'Aged 18 in Full Time Education' box is selected, then the patient must be aged 18 at the Date of Referral*

Assessment & review	<input type="checkbox"/>	Assess & refuse treatment	<input type="checkbox"/>	Assess & appliance fitted	<input type="checkbox"/>
IOTN	<input type="text" value=""/>	Aesthetic component	<input type="text" value=""/>	IOTN not applicable	<input type="checkbox"/>
Date of Referral	<input type="text" value=""/>				
Date of Assessment	<input type="text" value=""/>				
Date Appliance Fitted	<input type="text" value=""/>				

Assessment & Review – Select this box if an assessment has been performed and NHS orthodontic treatment is indicated, but the patient is not ready to start. A date of assessment and IOTN score must be present. If the IOTN score is 3, the Aesthetic Component must also be completed. For a fee-paying patient, the Band 1 charge is levied.

Assessment & Refuse Treatment – Select this box if an assessment has been performed but NHS orthodontic treatment is deemed unnecessary or inappropriate. A date of assessment and IOTN score must be present. If the IOTN score is 3, the Aesthetic Component must also be completed. For a fee-paying patient, the Band 1 charge is levied.

Assessment & Appliance Fitted – Select this box if an assessment has been performed and an orthodontic appliance has been fitted.

Please note the following must be present.

- Date of assessment (this can be the re-assessment date after an assess and review claim submission, or could be the same as the appliance fit date)
- Date appliance fitted
- IOTN score.
- If the date of assessment is 1 April 2019 or later Aesthetic Component must be included.

For claims with a date of assessment prior to 1 April 2019, if the IOTN score is 3, the Aesthetic Component must be completed.

For a fee-paying patient, the Band 3 charge is levied. If a patient commences a course of treatment, a second form must be submitted on completion or termination of treatment.

IOTN – Enter the IOTN Dental Health Component. If the value is 3, the Aesthetic Component must also be completed.

Aesthetic component – Enter the IOTN Aesthetic Component. Please note that Aesthetic Component is mandatory for Appliance Fit claims.

IOTN not applicable – Cross this box if an IOTN assessment is not possible. For example, transfer cases with fixed appliances in situ.

Date of Referral – Enter the date the referral was received (this is mandatory for Assessment claims). Please note that Date of Referral must be on or before the Date of Assessment.

Date of Assessment – Enter the date of assessment on all assessment forms.

Date Appliance Fitted – Enter the date the first appliance was fitted for this course of treatment. The Date Appliance Fitted must be on or after the Date of Assessment

Orthodontic Conclusion

To be completed on conclusion or termination of orthodontic treatment

Treatment abandoned - patient failed to return	<input type="checkbox"/>	Treatment abandoned - patient requested	<input type="checkbox"/>	Treatment discontinued	<input type="checkbox"/>	Treatment completed	<input type="checkbox"/>	PAR scores calculated Y/N	<input type="checkbox"/>
IOTN	<input type="text"/>	Aesthetic component	<input type="text"/>	IOTN not applicable	<input type="checkbox"/>	Pre-Treatment PAR Score	<input type="text"/>	Post-Treatment PAR Score	<input type="text"/>
Date of Completion or Last Visit	<input type="text"/>								

Treatment abandoned - patient failed to return – Select this box if the active treatment was abandoned because the patient failed to return. A date of last visit must be present.

Treatment abandoned - patient requested – Select this box if the active treatment has been abandoned at the patient's request. A date of last visit must be present.

Treatment discontinued – Select this box if the clinician decides active treatment is to be discontinued. A date of last visit must be present.

Treatment completed – Select this box if the active treatment has been completed. A date of completion must be present.

PAR scores calculated – Select 'Y' or 'N' if a PAR score has been calculated or not.

IOTN - Enter the IOTN Dental Health Component.

Aesthetic component – Enter the IOTN Aesthetic Component.

IOTN not applicable – Select this box if an IOTN assessment is not possible.

Pre-Treatment PAR Score – Enter the pre-treatment PAR score.

Post-Treatment PAR Score – Enter the post-treatment PAR score.

Date of Completion or Last Visit – Enter the date in the format ddmmyy.

Please note that if an IOTN value of 3 is entered then an accompanying Aesthetic Component item is mandatory.

Ortho Reg 11/Appliance Repair

Repair to appliance fitted by another contractor	<input type="checkbox"/>	Regulation 11 replacement appliance	<input type="checkbox"/>
Date of Completion or Last Visit	<input type="text"/>		

Repair to an Appliance Fitted by Another Contractor – Select this box if a repair is made to an appliance fitted by another contractor.

Regulation 11 Replacement Appliance - Select this box if an orthodontic replacement appliance under Regulation 11 has been provided – please note that the assessment date has to be completed. A patient charge should be entered which will be 30% of the band 3 charge per appliance. In all instances a patient's charge should be collected from the patient or patient's parent or legal guardian irrespective of the exemption/remission status. The patient may be able to claim a refund directly from NHS Dental Services.

Date of Completion – A date of completion is mandatory if anything is entered in either of the above two boxes.

Please note these items cannot be used in conjunction with any Assessment or Treatment Start or Treatment Conclusion aspect of a course of treatment.

Ethnic Origin

White British	<input type="checkbox"/>	White Irish	<input type="checkbox"/>	Other White Background	<input type="checkbox"/>	White and Black Caribbean	<input type="checkbox"/>	White and Black African	<input type="checkbox"/>
White and Asian	<input type="checkbox"/>	Other Mixed Background	<input type="checkbox"/>	Asian or Asian British Indian	<input type="checkbox"/>	Asian or Asian British Pakistani	<input type="checkbox"/>	Asian or Asian British Bangladeshi	<input type="checkbox"/>
Other Asian background	<input type="checkbox"/>	Black or Black British Caribbean	<input type="checkbox"/>	Black or Black British African	<input type="checkbox"/>	Other Black background	<input type="checkbox"/>	Chinese	<input type="checkbox"/>
Any other ethnic group	<input type="checkbox"/>	Patient declined	<input type="checkbox"/>						

Enter the patient's ethnic group, or alternatively where the patient has declined to provide this information select the 'Patient Declined' box.

Clinician Declaration

All the necessary care and treatment that the patient is willing to undergo will be provided

All the currently necessary care and treatment that the patient is willing to undergo has been carried out

I declare that I am properly entitled to practice under the current dental regulations and that the information I have given on this form is correct and complete. I understand that if it is not, appropriate action may be taken. For the purpose of verification of this and the prevention and detection of fraud and incorrectness, I consent to the disclosure of relevant information from this form to and by the NHS Business Services Authority

Clinician Declaration – The declaration must be completed by a qualified clinician on every form. This would normally be the clinician responsible for the course of treatment.

All three declarations should be selected on every form submitted, except for courses of treatment where the clinician decides to discontinue treatment. In this instance, only the first and last boxes should be selected.

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